

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Hongquiang LU

Application No./Patent No.: 6969651 Filed/Issue Date: November 29, 2005

Entitled: LAYOUT DESIGN AND PROCESS TO FORM NANOTUBE CELL FOR NANOTUBE MEMORY APPLICATIONS

Nantero, Inc., a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Lu et al. To: LSI Logic Corporation  
The document was recorded in the United States Patent and Trademark Office at Reel 015159, Frame 0619, or for which a copy thereof is attached.  
2. From: LSI Logic Corporation To: Nantero, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 020930, Frame 0839, or for which a copy thereof is attached.  
3. From: LSI Corporation To: Nantero, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 021450, Frame 0389, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Robert O. Lindefield  
Signature

12-15-08  
Date

Robert O. Lindefield  
Printed or Typed Name

412-437-0060  
Telephone Number

General Counsel and Chief IP Counsel  
Title